

APPLICATION FOR TOWARDS SUBMISSION REVIEW

This application applies to all HDR candidates.

A full set of the completed documents must lodged via candidature@vu.edu.au 20 working days prior to the scheduled presentation date

pervisor Details			
Family Name:			
		Student ID:	
Full Time		Part Time	
Traditional B	y Creativ	e	With Publication
	Family Name:	Family Name:	Family Name: Student ID: Full Time Student ID:

3. Higher Degree By Research End-User Engagement Code A HDR candidate who is: (candidate may select more than one code)

Code:	Meaning:	Select Code: (mark with X)
01	Not undertaking a type of research end-user engagement listed below	
03	Jointly supervised by a research end-user	
08	Research internship undertaken with a research end-user that was <u>not agreed</u> within the relevant period	

Definitions:

Research end-user

A research end-user is defined as an individual, community or organisation external to academia that will directly use or directly benefit from the output, outcome or results of the research.

Examples of end users includes businesses, governments, non-governmental organisations, communities and community organisations.

Specific exclusions of research end-user are:

- · Other higher education providers
- Organisations that are affiliates, controlled entities or subsidiaries (such as Medical Research Institutes) of a higher education provider
- Equivalents (international or domestic) of the above exclusions

03 code

Jointly supervised by a research end–user is defined as an HDR student that has at least two HDR supervisors, with at least one supervisor from a research end user organisation. The supervision arrangements must be endorsed by the HDR student's HEP and the research end user supervisor must be actively engaged in the student's HDR

08 code

- Student can indicate an internship at any time throughout the timely duration of their candidature
- Internship is for a minimum of 3 months
- Does not have to be related to the candidate's Doctorate area of research
- For further information, refer to Doctoral Industry Placements webpage



IF YOU INDICATE CODE 08, YOU MUST COMPLETE THE INFORMATION BELOW AND ATTACH THE SIGNED AGREEMENT TO YOUR APPLICATION.

Resea	rch end-user
1)	Provide the name(s) of the Research end-user
2)	Indicate the development activities with the Research end-user:
3)	Start Date of Period of work End Date of Period of work
4)	Please indicate the total number of full time equivalent days of research:
5)	You must attach a copy of the Written Agreement to your application A written agreement between you and the research end-user must be attached which includes: The Research & Development activities to be undertaken by you with the research end-user Duration of the internship
6)	Is a research contract or other agreement in place? YES NO If 'yes', please attach a copy of the contract/agreement to your application
7)	Is there an IP agreement in place? YES NO If 'yes', please attach a copy of the agreement to your application

	No	Yes	Please provide the details.
Submitted a paper(s) for publication in a peer-reviewed publication?			
Have a paper(s) accepted for publication in a peer-reviewed publication?			
Presented (oral presentation or poster) at a conference external to Victoria University?			
Attended Researcher Development Programs at VU and/or external to the University?			You may wish to submit your VU Develop List of Attendance as part of your submission.
Other (please elaborate)			

5. Ethics & Intellectual Property Approval				
	N/A	Yes	No	
If applicable, has Ethics Approval been granted? Insert Number here:			Please elaborate as to why ethics approval has not been finalised in your submission.	
If applicable, have Intellectual Property agreements been finalised between Victoria University and the Industry Partner?		Approval Date	Please elaborate as to why Intellectual Property agreements have not been finalised in your submission.	
Has there been a significant change of approved research direction which will require the University's approval or a modification of your ethics application via this review process?		Please elaborate in your submission as to the nature of the changes.		



6. Candidate's and Supervisor's Assessment of Progress (To be completed by both candidate and supervisor to Panel's consideration)

Candidate's self-assessment of progress towards completion

Tick	Assessment	
	Expected to submit in 4.0 (Doctoral) or within the appropriate maximum duration of the enrolled Master Degree	
	Not expected to submit in 4.0 (Doctoral) or within the appropriate maximum duration of the enrolled Master Degree	Please ensure you outline the reasons for your assessment in your submitted documentation.
	No progress and unsure whether completion is possible at all.	Please ensure you outline the reasons for your assessment in your submitted documentation.

Supervisor's assessment of progress towards completion

Tick	Assessment	
	Progress and expected to submit in 4.0 (Doctoral) or within the appropriate maximum duration of the enrolled Master Degree	
	Progress but not expected to submit in 4.0 (Doctoral) or within the appropriate maximum duration of the enrolled Master Degree	Supervisors may wish to outline the reasons for their assessment to the Panel via their notes at the Milestone. Please ensure that you have discussed these with your student and ensure that
	No progress and unsure whether completion is possible at all.	confidentiality is respected.

7. Facilities and Support (to be completed by the Candidate and Principal Supervisor)

	Yes	No	Candidate to elaborate as appropriate, or elaborate in submission.	Supervisor comment, if applicable.
Are the facilities/equipment required for your research project available within the Institute?				
Are there any OHS (physical, chemical, health and wellbeing, personal safety) issues that are relevant for progress in candidature?				
Have you discussed your career/employment goals with your supervisor and developed a plan to achieve those goals?				

8. Panel Evaluation - Doctoral Degrees ONLY

The information collected in this report is for the sole purpose of documenting the candidate's Towards Submission Review. Following the oral presentation, the feedback collected will be forwarded to the candidate and supervisory team, indicating an outcome.

AQF Level 10 Graduate Outcomes	Disagree	Agree	Comments
Given the stage candidature and the progress made, the candidate is satisfactorily working towards being able to systematically and critically understand a complex field of learning and specialised research skills for the advancement of learning and/or for professional practice.			
Knowledge			
Given the stage candidature and the progress made, the candidate is satisfactorily working towards systemically and critically understanding a substantial and complex body of knowledge at the frontier of their discipline or area of professional practice.			
Skills			
Given the stage candidature and the progress made, the candidate is satisfactorily working towards becoming an expert, with specialised cognitive, technical and research skills in a discipline area to independently and systematically: Engage in critical reflection, synthesis and evaluation. Develop, adapt and implement research methodologies to extend and redefine existing knowledge or professional practice. Disseminate and promote new insights to peers and the community. Generate original knowledge and understanding to make a substantial contribution to a discipline or area of professional practice. 			
Application of knowledge and skills			
Given the stage candidature and the progress made, the candidate is satisfactorily working towards being able to apply knowledge and skills to demonstrate autonomy, authoritative judgement, adaptability and responsibility as an expert and leading practitioner or scholar.			



9. Panel Evaluation - Master Degrees ONLY

The information collected in this report is for the sole purpose of documenting the candidate's Towards Submission Review. Following the oral presentation, the feedback collected will be forwarded to the candidate and supervisory team, indicating an outcome.

AQF Level 9 Graduate Outcomes	Disagree	Agree	Comments
Given the stage candidature and the progress made, the candidate is satisfactorily working towards applying an advanced body of knowledge in a range of contexts for research and scholarship and as pathway for further learning.			
Knowledge			
Given the stage candidature and the progress made, the candidate is satisfactorily working towards a body of knowledge that includes the understanding of recent developments in one or more disciplines and advanced knowledge of research principles and methods applicable to the field of work or learning.			
Skills			
Given the stage candidature and the progress made, the candidate is will have: • Cognitive skills to demonstrate mastery of theoretical knowledge and to reflect critically on theory and its application.			
 Cognitive, technical and creative skills to investigate, analyse and synthesise complex information, problems, concepts and theories and to apply established theories to different bodies of knowledge or practice. 			
 Cognitive, technical and creative skills to generate and evaluate complex ideas and concepts at an abstract level. 			
 Cognitive and technical skills to design, use and evaluate research and research methods. 			
 Communication and technical skills to present a coherent and sustained argument and to disseminate research results to specialist and non-specialist audiences. 			
 Technical and communication skills to design, evaluate, implement, analyse, theorise and disseminate research that makes a contribution to knowledge. 			
Application of knowledge and skills		•	
Given the stage candidature and the progress made, the candidate is satisfactorily working towards being able to demonstrate:			
 The application of knowledge and skills with creativity and initiative to new situations and/or further learning. 			
 With high level personal autonomy and accountability. 			
To plan and execute a substantial piece of research.			

Specific recommendations from the Panel for the candidate to work on to improve the quality of the thesis while ensuring that they complete their degree in a timely manner.

Potential Areas for Comment:

- Research: Aims of the Project, Statement of Significance, Literature Review, Methodology and methods, Analysis and interpretation, Conclusion.
- Ethics and integrity, Intellectual Property, Health and Safety
- Transferable skills: written and oral communication skills, problem solving, project= management, leadership, collaboration, doctoral industry experience (APRIntern, VU ROP8003)

Comments:	
Comments.	



10. Classification of the Towards Submission Review

The Chair, on behalf of the Panel has classified that the milestone is:

Achieved

Candidate will continue to work with their supervisors to make progress towards their next Milestone. There will be no need to prepare a response to the Milestone Report.

Pending - Minor

Submit a Plan to address the feedback received from the Review Panel to the Chair of the Review Panel within 10 (EFT) working days from when they receive their Milestone Review Report.

- A satisfactory Plan will result in the Chair of the Review Panel on behalf of the Panel recommending that the Towards Submission Milestone has been achieved.
- An unsatisfactory Plan will result in the Chair of the Review Panel on behalf of the Panel recommending that the Towards Submission Review has not been achieved.

Pending - Deferred

Candidate and Supervisor will be required to contact the Associate Director (Research Institute) within **10 (EFT) working days**, from when they receive their Milestone Review Report.

- An agreed program of study for the candidate, with clearly defined hurdles to be achieved in the next **three months of enrolment (EFT),** will be developed. After which time, the Associate Director (Research Institute) will assess whether the hurdles set have been achieved, or not achieved.
- Final outcome of the Towards Submission Review Milestone Review lies with the Associate Director (Research Institute) or nominee.

Has Not Been Achieved

Unsatisfactory Progress proceedings invoked in accordance with <u>Higher Degrees by Research Procedure 4</u> <u>Progress.</u>

Panel Composition	Name	
Chair of Panel:		
Discipline Leader or Academic:		
An Academic and Active Researcher/s:		
Chair of Panel Name	Signature	Date

11. Research Institute Associate Director Approval

Final outcome of the Mid-Candidature Review Milestone lies with the Associate Director (Research Institute) or nominee

One semester extension to candidature:		Yes		No	
Name		Signature			Date
GRS STAFF USE ONLY – For Institute Associate Director Reference					
Current consumed load:					
Maximum completion date:					
Is an extension to candidature required?	Yes		No		
	(If 'Yes', see comments below)				
Comments:					

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